

Address any reply to:

P.O. Box 2350, Los Angeles, Calif. 90053

Department of the Treasury

LA:EO:77:1224

District Director

Internal Revenue Service

Date:

AUG 31 1977

In reply refer to: J. Jones

L-391, Code 42k EOC2:De

Determination Section (219) 688-4553 JR

▶ **Allan Hancock College Foundation**
800 South College Drive
Santa Maria, California 93454

Accounting Period Ending: **June 30**

Form 990 Required: Yes No

Advance Ruling Period Ends: **June 30, 1979**

Gentlemen:

Based on the information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization of the type described in section 170(b)(1)(A)(vi) and 509(a)(1).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins on the date of your inception and ends on the date shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization so long as you continue to meet the requirements of the applicable support test. If, however, you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, in the event you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and donors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. In addition, if you submit the required information

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Form L-391 (4-73)

within the 90 days, grantors and donors may continue to rely on the advance determination until the Service makes a final determination of your foundation status. However, if notice that you will no longer be treated as a section 170(b)(1)(A)(vi) organization is published in the Internal Revenue Bulletin, grantors and donors may not rely on this determination after the date of such publication. Also, a grantor or donor may not rely on this determination if he was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 170(b)(1)(A)(vi) status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 170(b)(1)(A)(vi) organization.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

You are not liable for social security (FICA) taxes unless you file a waiver of exemption certificate as provided in the Federal Insurance Contributions Act. You are not liable for the taxes imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions concerning these taxes, please let us know.

If your sources of support, or your purposes, character, or method of operation is changed, you should let us know so we can consider the effect of the change on your status. Also, you should inform us of all changes in your name or address.

If the yes box at the top of this letter is checked, you are required to file Form 990, Return of Organization Exempt From Income Tax, only if your gross receipts each year are normally more than \$5,000. The return is due by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, for failure to file the return on time.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

Sincerely yours,

District Director

Form L-391 (4-73)

Form 1023

Application for Recognition of Exemption

(Rev. 11-1968)

(Rev. November 1972)
Department of the Treasury
Internal Revenue Service

Under Section 501(c)(3) of the Internal Revenue Code

To be filed in the District in which the organization has its principal office or place of business.

This application, when properly completed, shall constitute the notice required under section 508(a) of the Internal Revenue Code in order that organizations may be treated as described in section 501(c)(3) of the code, and the notice under section 508(b) appropriate to those organizations claiming not to be private foundations within the meaning of section 509(e).

Part I - Identification (See instructions)

1 Full name of organization The Allan Hancock College Foundation		2 Employer identification number (If none, attach Form SS-4) None	
3(a) Address (number and street) 800 South College Drive			
3(b) City or town, State and ZIP code Santa Maria, California 93454		4 Name and phone number of person to be contacted Dr. A. L. Silvera, 805-965-0561, Ext. 399	
5 Month the annual accounting period ends June	6 Date incorporated or formed June 27, 1977	7 Activity Codes (see instructions) 602 603 040	

Part II - Organizational Documents (See instructions)

- 1 Attach a conformed copy of the organization's creating instruments (articles of incorporation, constitution, articles of association, deed of trust, etc.).
- 2 Attach a conformed copy of the organization's by-laws or other rules for its operation.
- 3 If the organization does not have a creating instrument, check here (See instructions)

Part III - Activities and Operational Information (See instructions)

- 1 What are or will be the organization's sources of financial support? List in order of magnitude. If a portion of the receipts is or will be derived from the earnings of patents, copyrights, or other assets (excluding stock, bonds, etc.), identify such item as a separate source of receipt. Attach representative copies of solicitations for financial support.
 - a. Philanthropic and voluntary support from donors in the North Santa Barbara County region;
 - b. Funds which may be available from other foundations and organizations to carry out the purposes of The Allan Hancock College Foundation.

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- 2 Describe the organization's fund-raising program and explain to what extent it has been put into effect. (Include details of fund-raising activities such as selective mailings, formation of fund-raising committees, use of public relations fund raisers, etc.)

No planned fund-raising program is currently anticipated except the printing and dissemination of a brochure announcing the existence of The Allan Hancock College Foundation and encouraging the voluntary support of the Foundation.

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and I have examined this application, including the accompanying statements, and to the best of my knowledge it is true, correct and complete.

X *[Signature]*
(Signature)

[Signature]
(Title or authority of signer)

14 July 1977
(Date)

Part III—Activities and Operational Information (Continued)

3 Give a narrative description of the activities presently carried on by the organization, and also those that will be carried on: If the organization is not fully operational, explain what stage of development its activities have reached, what further steps remain for the organization to become fully operational, and when such further steps will take place. The narrative should specifically identify the services performed or to be performed by the organization. (Do not state the purposes of the organization in general terms or repeat the language of the organizational documents.) If the organization is a school, hospital, or medical research organization, include sufficient information in your description to clearly show that the organization meets the definition of that particular activity that is contained in the instructions for Part VII-A on page 3 of the instructions.

The Allan Hancock College Foundation has been established as a nonprofit corporation to promote and assist the educational goals and objectives of Allan Hancock College and to apply the funds and properties coming into its hands toward furthering the educational services carried on or approved by the Board of the Allan Hancock College District.

The Foundation has recently been established (June 27, 1977). Bylaws were adopted. The Foundation has been recognized (June 27, 1977) by the California Franchise Tax Board as being exempt from State Franchise or Income Tax under Section 23701d, Revenue and Taxation Code. Activities of the organization are presently in the formative stage. It is anticipated, however, that a brochure will be developed to encourage local citizenry to provide those instructional and service aids not normally furnished by the public tax funds.

Programs and services for which funds will be sought will be identified in a listing of goals and objectives. Efforts to obtain and expend funds will be flow-charted. It is expected that such efforts will be accomplished by volunteers, though at some future date professional assistance may be sought for specific fund raising purposes.

The organization is expected to be fully operational in 1977.

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...the organization's program and efforts to carry out its purposes...

14 July 1977
[Signature]

Part III.—Activities and Operational Information (Continued)

4 The membership of the organization's governing body is:

(a) Names, addresses, and duties of officers, directors, trustees, etc.	(b) Specialized knowledge, training, and skills, or particular qualifications
<p>See Attachment II for names and addresses of the Board of Directors.</p> <p>See Attachment III for statement of duties taken from the Foundation's Bylaws.</p>	<p>See Attachment IV.</p>

(c) Do any of the above persons serve as members of the governing body by reason of being public officials or appointed by public officials? Yes No
If "Yes," please name such persons and explain the basis of their selection or appointment.

Edmund Burke, Owen S. Rice, Ernest E. Righetti, Hubbard J. Russell, Jr., and Jan Severson also serve as members of the Board of Trustees of Allan Hancock College District. George E. Howard serves as the Assistant to the President of Allan Hancock College. Charles L. Johnson serves as the Business Manager, Allan Hancock College.

(d) Are any members of the organization's governing body "disqualified persons" with respect to the organization (other than by reason of being a member of the governing body) or do any of its members have either a business or family relationship with "disqualified persons"? (See specific instructions 4(d)). Yes No
If "Yes," please explain.

5 Does the organization control or is it controlled by any other organization? Yes No
Is the organization the outgrowth of another organization, or does it have a special relationship to another organization by reason of interlocking directorates or other factors? Yes No
If either of these questions is answered "Yes," please explain.

6 Is the organization financially accountable to any other organization? Yes No
If "Yes," please explain and identify the other organization. Include details concerning accountability or attach copies of reports if any have been rendered.

7 What assets does the organization have that are used in the performance of its exempt function? (Do not include income producing property.) If any assets are not fully operational, explain what steps of completion has been reached, what additional steps remain to be completed, and when such final steps will be taken.
New organization; no assets presently; services provided in behalf of the organization are provided on a voluntary basis.

Part III—Activities and Operational Information (Continued)

8 (a) What benefits, services, or products will the organization provide with respect to its exempt function?
Provide services; awards, and/or grants to Allan Hancock College for the furtherance of its educational goals and objectives.

(b) Have the recipients been required or will they be required to pay for the organization's benefits, services, or products? Yes No
If "Yes," please explain and show how the charges are determined.

9 Does or will the organization limit its benefits, services or products to specific classes of individuals? Yes No
If "Yes," please explain how the recipients or beneficiaries are or will be selected.

10 Is the organization a membership organization? Yes No
If "Yes," complete the following:
(a) Please describe the organization's membership requirements and attach a schedule of membership fees and dues.

(b) Are benefits limited to members? Yes No
If "No," please explain.

(c) Attach a copy of the descriptive literature or promotional material used to attract members to the organization. Not published at the date of this application.

11 Does or will the organization engage in activities tending to influence legislation or intervene in any way in political campaigns? Yes No
If "Yes," please explain.

Part IV.—Statement as to Private Foundation Status (See Instructions)

- 1 Is the organization a private foundation? Yes No
- 2 If question 1 is answered "No," indicate the type of ruling being requested as to the organization's status under section 509 by checking the applicable box below:
 - Definitive ruling under section 509(a)(1), (2), (3), or (4) — complete Part VII.
 - Advance or extended advance ruling under section 509(a)(1) or (2) — See Instructions.
- 3 If question 1 is answered "Yes," and the organization claims to be a private operating foundation, check here and complete Part VIII.

ATTACHMENT II

BOARD OF DIRECTORS
OF
THE ALLAN HANCOCK COLLEGE FOUNDATION

Mr. Edmund Burke
230 South E Street
Lompoc, California 93436

Home: 738-2001

Mr. Walter E. Conrad
391 St. Andrews Way
Lompoc, California 93436

Home: 733-1458

Mr. George E. Howard, Jr.
379 St. Andrews Way
Lompoc, California 93436

Home: 733-4061
Office: 922-6966, Ext. 254

Mr. Charles L. Johnson
4194 Arcturus
Lompoc, California 93436

Home: 733-1361
Office: 922-6966, Ext. 221

Mr. Owen S. Rice
1910 East Stowell Road
Santa Maria, California 93454

Home: 925-8463
Office: 922-7782
Ranch: 925-1831

Mr. Ernest E. Righetti
3050 South Highway One
Santa Maria, California 93454

Home: 927-6696

Mr. Hubbard S. Russell, Jr.
Post Office Box 167
New Cuyama, California 93254

Home: 766-2477
Ranch: 766-2415

Mrs. Jan Severson (John G.)
605 Rancho Alisal Drive
Solvang, California 93463

Home: 688-3867

DUTIES OF DIRECTORS AND OFFICERS

The affairs of the corporation shall be managed by its Board of Directors.
(Article V, Bylaws)

Article VII of the Bylaws pertains to "Officers" and states:

- A. The officers of the Corporation shall be a president, a vice-president, a secretary, a treasurer, and such other officers as may be elected in accordance with the provisions of this article. The Board of Directors may elect or appoint such other officers as it shall deem desirable, such officers to have the authority and perform the duties prescribed, from time to time, by the Board of Directors. All officers are to be members of the Board of Directors.
- B. The officers of the Corporation shall be elected annually by the Board of Directors at the annual meeting. If the election of officers is not held at such meeting, such election shall be held as soon thereafter as conveniently may be. New officers may be created and filled at any meeting of the Board of Directors. Each officer shall hold office until his successor shall have been duly elected and shall have qualified.
- C. Any officer elected or appointed by the Board of Directors may be removed by the Board of Directors whenever in its judgment the best interests of the Corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the officer removed.
- D. A vacancy in any office because of death, resignation, removal, or otherwise, may be filled by the Board of Directors for the unexpired portion of the term.
- E. The president shall be the principal executive officer of the Corporation and shall in general supervise all of the business and affairs of the Corporation. He shall preside at all meetings of the Board of Directors. He may sign, with the secretary or any other proper officer of the Corporation authorized by the Board of Directors, any deeds, mortgages, bonds, stocks, contracts, or other instruments which the Board of Directors have authorized to be executed, except in cases where the signing and execution thereof shall be expressly delegated by the Board of Directors or by the bylaws to some other officer of the Corporation; and in general he shall perform all duties incident to the office of president and such other duties as may be prescribed by the Board of Directors from time to time.
- F. In the absence of the president or in the event of his inability to act, the vice-president shall perform the duties of the president, and when so acting, shall have all the powers of and be subject to all the restrictions upon the president.

- G. The secretary shall keep the minutes of the meetings of the Board of Directors in one or more books provided for that purpose; see that all notices are duly given in accordance with the provisions of these bylaws or as required by law; be custodian of the Corporate records and of the seal of the Corporation and see that the seal of the Corporation is affixed to all documents, the execution of which on behalf of the Corporation under its seal is duly authorized in accordance with the provisions of these bylaws; keep a record of the post office address of each member of the Board of Directors which shall be furnished to the secretary by such member; and in general perform all duties incident to the office of secretary and such other duties as from time to time may be assigned to him by the president or by the Board of Directors.
- H. The treasurer shall be responsible for the financial records of the funds and assets of the Corporation; shall receive and give receipts for moneys payable to the Corporation from any source whatsoever, and deposit all such funds in the name of the Corporation in such banks or other depositories as shall be selected in accordance with the provisions of Article IX of these bylaws; shall be responsible for keeping all books and records or accounts of the financial transactions and affairs of the corporation; and in general perform all the duties incident to the office of treasurer and such other duties as from time to time may be assigned to him by the president or by the Board of Directors.
- I. Honorary President or Honorary Directors. From time to time in the discretion of the Board of Directors, any person whom the Board, by unanimous vote of the Directors present at any meeting duly called and held may determine so to favor in recognition of service or beneficence to the Corporation may be elected an Honorary President or an Honorary Director of the Corporation. Such an appointment will be for life and such an Honorary President or Director will have only such duties as shall be voluntarily undertaken at the request of the Board of Directors.

Article VIII of the bylaws pertains to "Committees" and states:

- A. There shall be an Executive Committee composed of the four officers of the corporation (president, vice-president, secretary and treasurer). The Executive Committee shall have such authority as the Board of Directors shall from time to time designate.
- B. The President shall appoint the following committees and in addition shall appoint any special committees as directed by the Board of Directors:
1. Gifts and Bequests

There shall be a Gifts and Bequests Committee, to be composed of not less than three persons whose function it shall be to

follow up on initial inquiries concerning gifts and bequests to the corporation, call upon the prospective donors, and make reports to the Board of Directors for its approval or rejection of said gifts and bequests.

2. Awards and Grants

There shall be an Awards and Grants Committee which shall be composed of not less than three persons whose function it shall be to make recommendations to the Board of Directors regarding the corporation's awards and grants program. Income from endowed scholarship funds supervised by the corporation shall be awarded in accordance with the terms stipulated by the donors at the time of donation of such funds. Other awards and grants may be made based upon the recommendation of the Awards and Grants Committee with approval of the Board of Directors.

3. Investments

There shall be an Investments Committee to be composed of not less than three persons whose function it shall be to supervise the corporation's investment portfolio, including authorization to purchase or to sell bonds, stocks, or other securities approved by the Board of Directors; to invest income accruing to the corporation from its investment portfolio, or to deposit such income in interest bearing accounts in financial institutions approved by the Board of Directors until such time as the Board of Directors may approve disbursement of such funds through awards, grants, or scholarships.

- C. One member of each of the aforementioned committees shall be appointed chairman by the president, unless otherwise provided herein.
- D. Vacancies in the membership of any committee shall be filled by the president. Members of all committees shall serve at the pleasure of the president, except as otherwise provided herein.
- E. A majority of the whole committee shall constitute a quorum, and the act of a majority of the members present at a meeting at which a quorum is present shall be the act of the committee.
- F. Each committee may adopt rules for its operation not inconsistent with these bylaws or with rules adopted by the Board of Directors.

QUALIFICATIONS OF DIRECTORSDirectorQualifications

Mr. Edmund Burke

Former executive (retired), Johns Manville Corporation; community leader.

Mr. Walter E. Conrad

Superintendent/President, Allan Hancock College.

Mr. George E. Howard, Jr.

Assistant to the President, Allan Hancock College; retired Chief of Staff, Vandenberg AFB.

Mr. Charles L. Johnson

Business Manager, Allan Hancock College.

Mr. Owen S. Rice

Community leader; former president, California Beet Growers Association; Advisory Board, Agricultural Research, University of California, Davis; Advisory Board, Bank of America.

Mr. Ernest E. Righetti

Community leader; rancher; Santa Barbara County Fair Board; District Soil Conservation Board; Advisory Board, Bank of America.

Mr. Hubbard S. Russell, Jr.

Cattle rancher; former President, California Cattlemen's Association; Board of Directors, American Cattlemen's Association.

Mrs. Jan Severson

Community leader; Director, Santa Ynez Valley Art Association; National Board of Directors, Family Services Association; California State Council; Agencies for Family Service.

Part V—Financial Data (See instructions)

Statement of Receipts and Expenditures, for period ending June 30, 1978

Receipts		
1	Gross contributions, gifts, grants and similar amounts received	10,000
2	Gross dues and assessments of members	0
3	Gross amounts derived from activities related to organization's exempt purpose	0
	Less cost of sales	0
4	Gross amounts from unrelated business activities	0
	Less cost of sales	0
5	Gross amount received from sale of assets, excluding inventory items (attach schedule)	0
	Less cost or other basis and sales expense of assets sold	0
6	Interest, dividends, rents and royalties	200
7	Total receipts	10,200
Expenditures		
8	Contributions, gifts, grants, and similar amounts paid (attach schedule)	3,000
9	Disbursements to or for benefit of members (attach schedule)	0
10	Compensation of officers, directors, and trustees (attach schedule)	0
11	Other salaries and wages	0
12	Interest	0
13	Rent	0
14	Depreciation and depletion	0
15	Other (attach schedule)	450
16	Total expenditures	3,450
17	Excess of receipts over expenditures (line 7 less line 16)	6,750

Balance Sheet	Enter dates	Beginning date	Ending date
		July 15, 1977	June 30, 1978
Assets			
18	Cash (a) Interest bearing accounts		6,150
	(b) Other	4,200	600
19	Accounts receivable, net	0	0
20	Inventories	0	0
21	Bonds and notes (attach schedule)	0	0
22	Corporate stocks (attach schedule)	0	0
23	Mortgage loans (attach schedule)	0	0
24	Other investments (attach schedule)	0	0
25	Depreciable and depletable assets (attach schedule)	0	0
26	Land	0	0
27	Other assets (attach schedule)	0	0
28	Total assets	4,200	6,750
Liabilities			
29	Accounts payable	0	0
30	Contributions, gifts, grants, etc., payable	0	3,000
31	Mortgages and notes payable (attach schedule)	0	0
32	Other liabilities (attach schedule)	0	0
33	Total liabilities	0	3,000
Fund Balance or Net Worth			
34	Total fund balance or net worth	4,200	3,750
35	Total liabilities and fund balance or net worth (line 33 plus line 34)	4,200	6,750

Part VI—Required Schedules for Special Activities (See instructions)

1	Is the organization, or any part of it, a school?	No	A
2	Does the organization provide or administer any scholarship benefits, student aid, etc.?	No	B
3	Has the organization taken over, or will it take over, the facilities of a "for profit" institution?	No	C
4	Is the organization, or any part of it, a hospital?	No	D
5	Is the organization, or any part of it, a home for the aged?	No	E
6	Is the organization, or any part of it, a litigating organization (public interest law firm, or similar organization)?	No	F

Part VII.—Non-Private Foundation Status (Definitive ruling only)

A.—Basis for Non-Private Foundation Status

The organization is not a private foundation because it qualifies as:

	Kind of organization	Within the meaning of Sections 509(a)(1) and 170(b)(1)(A)(i)-(iv)	Complete (Part VII.—B or C)
1	a church	Sections 509(a)(1) and 170(b)(1)(A)(i)	
2	a school	Sections 509(a)(1) and 170(b)(1)(A)(ii)	
3	a hospital	Sections 509(a)(1) and 170(b)(1)(A)(iii)	
4	a medical research organization operated in conjunction with a hospital	Sections 509(a)(1) and 170(b)(1)(A)(iii)	
5	being organized and operated exclusively for testing for public safety	Section 509(a)(4)	
6	being operated for the benefit of a college or university which is owned or operated by a governmental unit	Sections 509(a)(1) and 170(b)(1)(A)(iv)	Part VII.—B
7	normally receiving a substantial part of its support from a governmental unit or from the general public	Sections 509(a)(1) and 170(b)(1)(A)(v)	Part VII.—B
8	normally receiving not more than one-third of its support from gross investment income and more than one-third of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions)	Section 509(a)(2)	Part VII.—B
9	being operated solely for the benefit of or in connection with one or more of the organizations described in 1 through 4, or 6, 7 and 8, above	Section 509(a)(3)	Part VII.—C

B.—Analysis of Financial Support

	(Years next preceding most recent taxable year)				(e) Total
	(a) Most recent taxable year	(b) 1978	(c) 1977	(d) 1976	
1 Gifts, grants, and contributions received		10,000			
2 Membership fees received		0			
3 Gross receipts from admissions, sales of merchandise or services, or furnishing of facilities in any activity which is not an unrelated business within the meaning of section 513		0			
4 Gross income from interest, dividends, rents and royalties		200			
5 Net income from organization's unrelated business activities		0			
6 Tax revenues levied for and either paid to or expended on behalf of the organization		0			
7 Value of services or facilities furnished by a governmental unit to the organization without charge (not including the value of services or facilities generally furnished the public without charge)		0			
8 Other income (not including gain or loss from sale of capital assets)—attach schedule		0			
9 Total of lines 1 through 8		10,200			
10 Line 9 less line 3		10,200			
11 Enter 2% of line 10, column (e) only					
12 If the organization has received any unusual grants during any of the above taxable years, attach a list for each year showing the name of the contributor, the date and amount of grant, and a brief description of the nature of such grant. Do not include such grants in line 1 above. (See instructions)					

B. Analysis of Financial Support (Continued)

13 If the organization's non-private foundation status is based upon:

- (a) Sections 509(a)(1) and 170(b)(1)(A)(v), or (v). Attach a list showing the name and amount contributed by each person (other than a governmental unit or "publicly supported" organization) whose total gift for the entire period exceed the amount shown on line 11.
- (b) Section 509(a)(2). With respect to the amounts included on lines 1, 2, and 3, attach a list for each of the above years showing the name of and amount received from each person who is a "disqualified person". With respect to the amount included on line 3, attach a list for each of the above years showing the name of and amount received from each payer (other than a "disqualified person") whose payments to the organization exceeded \$5,000. For this purpose, "payer" includes but is not limited to any organization described in sections 170(b)(1)(A)(i) through (vi) and any government agency or bureau.

C. Supplemental Information Concerning Organizations Claiming Non-Private Foundation Status Under Section 509(a)(3)

1 Organizations supported by applicant organization:

Name and address of supported organization	Has the supported organization received a ruling or determination letter that it is not a private foundation by reason of sections 509(a)(1), or (2)?
Allan Hancock College 800 South College Drive Santa Maria, California 93454	

2 What does the applicant organization do to support the above organizations?

Will provide grants, awards, and/or gifts for the furtherance of the educational goals and objectives for Allan Hancock College.

3 In what way do the supported organizations operate, supervise, or control the applicant organization, or in what way are the supported and applicant organizations operated in connection with each other?

The applicant organization is distinct from the supported organization and, as such, operates as a separate entity. The supported organization only reserves the right to accept or reject proffered assistance or support.

4 Is the applicant organization controlled directly or indirectly by one or more "disqualified persons" (other than one who is a disqualified person solely because he is a manager) or by an organization which is not described in section 509(a)(1) or (2)? Yes No

If "Yes," please explain:

Part VIII—Basis for Status as a Private Operating Foundation

If the organization—

- (a) bases its claim to private operating foundation status upon normal and regular operations over a period of years; or
- (b) is newly created, set up as a private operating foundation, and has at least one year's experience.

Complete the schedule below answering the questions under the income test and one of the three supplemental tests (assets, endowment, or support). If the organization does not have at least one year's experience, complete line 21. If the organization's private operating foundation status depends upon its normal and regular operations as described in (a) above, submit as an additional attachment, data in tabular form corresponding to the schedule below for each year from the most recent taxable year.

(b)(1) (b)(2) (b)(3) (b)(4) (b)(5) (b)(6) (b)(7) (b)(8) (b)(9) (b)(10) (b)(11) (b)(12) (b)(13) (b)(14) (b)(15) (b)(16) (b)(17) (b)(18) (b)(19) (b)(20) (b)(21)

Income Test

1 Adjusted net income, as defined in section 4942(f)

2 Qualifying distributions:

- (a) Amounts (including administrative expenses) paid directly for the active conduct of the activities for which organized and operated under section 501(c)(3) (attach schedule)
- (b) Amounts paid to acquire assets to be used (or held for use) directly in carrying out purposes described in sections 170(c)(1) or 170(c)(2)(B) (attach schedule)
- (c) Amounts set aside for specific projects which are for purposes described in section 170(c)(1) or 170(c)(2)(B) (attach schedule)
- (d) Total qualifying distributions (add lines 2(a), (b), and (c))

3 Percentage of qualifying distributions to adjusted net income (divide line 1 into line 2(d)—percentage must be at least 85 percent) %

Assets Test

4 Value of organization's assets used in activities that directly carry out the exempt purposes. Do not include assets held merely for investment or production of income (attach schedule)

5 Value of any corporate stock of corporation that is controlled by applicant organization and carries out its exempt purposes (attach statement describing such corporation)

6 Value of all qualifying assets (add lines 4 and 5)

7 Value of applicant organization's total assets

8 Percentage of qualifying assets to total assets (divide line 7 into line 6—percentage must exceed 65 percent) %

Endowment Test

9 Value of assets not used (or held for use) directly in carrying out exempt purposes:

- (a) Monthly average of investment securities at fair market value
- (b) Monthly average of cash balances
- (c) Fair market value of all other investment property (attach schedule)
- (d) Total (add lines 9(a), (b), and (c))

10 Subtract acquisition indebtedness with respect to line 9 items (attach schedule)

11 Balance (line 9 less line 10)

12 Apply to line 11 a factor equal to two-thirds the current applicable percentage for the minimum investment return under section 4942(e)(3). Line 2(d) must equal or exceed the result of this computation

Support Test

13 Applicant organization's support as defined in section 509(d)

14 Less—amount of gross investment income as defined in section 509(e)

15 Support for purposes of section 4942(j)(3)(B)(iii)

16 Support received from the general public, five or more exempt organizations, or a combination thereof (attach schedule)

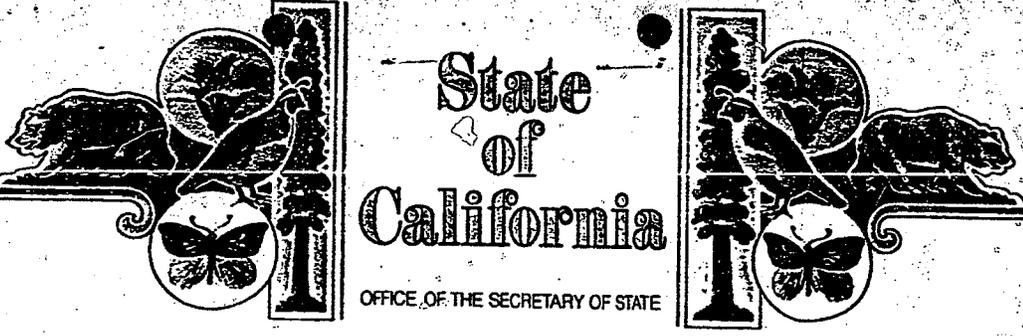
17 For persons (other than exempt organizations) contributing more than 1 percent of line 15, enter the total amounts in excess of 1 percent of line 15

18 Subtract line 17 from line 16

19 Percentage of total support (divide line 15 into line 18—must be at least 85 percent) %

20 Does line 16 include support from an exempt organization which is in excess of 25 percent of the amount on line 15? Yes No

21 Newly created organizations with less than one year's experience: Attach a statement explaining how the organization is planning to satisfy the requirements of section 4942(j)(3) with respect to the income test and one of the supplemental tests during its first year's operation. Include a description of plans and arrangements, press clippings, public announcements, solicitations for funds, etc.



I, *MARCH FONG EU*, Secretary of State of the State of California, hereby certify:

That the annexed transcript has been compared with the record on file in this office, of which it purports to be a copy; and that same is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this

JUN 27 1977



March Fong Eu

Secretary of State

818656

ARTICLES OF INCORPORATION

ALLAN HANCOCK COLLEGE FOUNDATION

ENDORSED
FILED

In the office of the Secretary of State
of the State of California

JUN 27 1977

MARCH 1966 ELL Secretary of State

Irene Keeler

Secretary

FIRST: The name of this corporation is: Allan Hancock College Foundation.
SECOND: (A) The specific and primary purposes for which this corporation is formed are:

- (1) to operate for the advancement of education;
- (2) to promote and provide educational and recreational facilities for the Allan Hancock Joint Community College District by constructing, maintaining, and operating buildings and equipment therefor; to provide for scholarships, fellowships, grants in aid, and other financial assistance to worthy students and members of the faculty of the Allan Hancock Joint Community College District;
- (3) to receive gifts, bequests or devises either outright or as trustee or beneficiary of a trust; to hold, transfer, buy, sell, invest or reinvest real property, cash, stocks and bonds, and all other evidences of value for the purposes set forth in Subsection 1 of this paragraph;
- (4) to expend moneys for the general welfare of the students and faculty of the Allan Hancock Joint Community College District;
- (5) to do any other act or thing and to engage in and carry on any other activity in any manner

(2)

connected with or incidental to, or calculated to, promote, assist, aid or accomplish any of the aforesaid purposes; and for the purpose of attaining or furthering the same; to exercise all or any of said powers, whether as principal, agent, or otherwise, and whether alone or with others; and to have and exercise all other rights, powers and privileges now or hereafter belonging to or conferred upon corporations organized under the provisions of Division 2, Part 1, Title 1 of the Corporations Code of the State of California;

(6) otherwise to provide aids to education supplementary to State and local tax means for the support and benefit of the Allan Hancock Community College District.

- (B) The general purpose for which this corporation is formed is to operate exclusively for educational purposes.
- (C) This corporation shall have and exercise all rights and powers now or hereafter conferred on nonprofit corporations under the laws of the State of California, provided, however, that this corporation is not empowered to engage in any activity which in itself is not in furtherance of its purposes as set forth in subparagraphs (A-1) and (A-2) of this Article SECOND.
- (D) The property of this corporation is irrevocably dedicated to charitable & educational purposes and no part of the net income or assets of this organization shall ever inure to the benefit of private individual. Upon the dissolution or winding up of

(3)

the corporation, its assets remaining after payment of, or provision for payment, of all debts and liabilities of this corporation, shall be distributed to a nonprofit fund, foundation or corporation which is organized and operated exclusively for charitable & educational purposes and which has established its tax exempt status under Section 501(c)(3) of the Internal Revenue Code. If this corporation holds any assets in trust, or a corporation is formed for charitable purposes, such assets shall be disposed of in such manner as may be directed by decree of the superior court of the county in which the corporation has its principal office, upon petition therefore by the Attorney General or by a person concerned in the liquidation, in a proceeding to which the Attorney General is a party.

(E) This corporation shall not, as a substantial part of its activities, carry on propaganda, or otherwise attempt to influence legislation. This corporation shall not participate in or intervene in (including the purchase or distributing of statements) any political campaign on behalf of any candidate for public office.

THIRD: This corporation is organized pursuant to the General Nonprofit Corporation Law of the State of California.

FOURTH: This corporation shall have no capital stock and shall be composed of members rather than shareholders.

FIFTH: The County in the State of California where the principal office for the transaction of the business of this corporation is to be located is the County of Santa Barbara.

SIXTH: The powers of this corporation shall be exercised, its properties controlled, and its affairs conducted by a Board to be known as the

Board of Directors. The number of directors of this corporation shall be eight. The names and addresses of the ^{FIRST} directors are as follows:

- Mr. Edmund Burke, 230 South E Street, Lompoc, California 93436
- Mr. Walter E. Conrad, 391 St. Andrews Way, Lompoc, California 93436
- Mr. George E. Howard, Jr., 379 St. Andrews Way, Lompoc, California 93436
- Mr. Charles L. Johnson, 4194 Arcturus, Lompoc, California 93436
- Mr. Owen S. Rice, 1910 East Stowell Road, Santa Maria, California 93454
- Mr. Ernest E. Righetti, 3050 South Highway One, Santa Maria, California 93454
- Mr. Hubbard S. Russell, Jr., P.O. Box 167, New Cuyama, California 93254
- Mrs. Jan Severson (John G.), 605 Rancho Alisal Drive, Solvang, California 93463

The number of directors herein provided for may be changed by a Bylaw adopted by the ^{MEMBERS} directors.

SEVENTH: Bylaws for this corporation shall be adopted by the Board of Directors and may thereafter be amended or repealed as provided ^{BY LAW.} in the bylaws.

IN WITNESS WHEREOF, for the purpose of forming this nonprofit corporation under the laws of the State of California, we, the undersigned, constituting the incorporators and the first Directors of this corporation, have executed these Articles of Incorporation this 22nd day of June 1977.

NAME	SIGNATURE
Mr. Edmund Burke	<i>Edmund Burke</i>
Mr. Walter E. Conrad	<i>Walter E. Conrad</i>
Mr. George E. Howard, Jr.	<i>George E. Howard Jr.</i>
Mr. Charles L. Johnson	<i>Charles L. Johnson</i>
Mr. Owen S. Rice	<i>Owen S. Rice</i>
Mr. Ernest E. Righetti	<i>Ernest E. Righetti</i>
Mr. Hubbard S. Russell, Jr.	<i>Hubbard S. Russell Jr.</i>
Mrs. Jan Severson	<i>Mrs. Jan Severson</i>

Internal Revenue Service

District
Director

Allen Hancock College Foundation
800 South College Drive
Santa Maria, California 93454

Department of the Treasury

LA:EO:79 1828

P.O. Box 2350 Los Angeles, Calif. 90053

Person to Contact: W. E. Fure

Telephone Number: (213) 688-5787

Refer Reply to: EOG-2D

Date: AUG 31 1979

Gentlemen:

Based on the information you furnished, we have classified your organization as one that is not a private foundation as defined in section 509(a) of the Internal Revenue Code because you are an organization described in the following Code Section:

- (~~XXX~~) Section 509(a)(1) and 170(b)(1)(A)(iv)
- () Section 509(a)(2)
- () Section 509(a)(3)
- () Section 509(a)(4)

This classification is based on the assumption that your operation will continue as stated. All changes in your purposes, character, or method of operation must be reported to your District Director so he can consider their effect on your status.

Sincerely yours,

W. H. Connett
District Director

Internal Revenue Service
Department of the Treasury

Date:

Refer Reply To:
Internal Revenue Service
Processing Unit III
P.O. Box 36040
Stop 3-2-29
San Francisco, CA 94102

Allan Hancock College Foundation
800 South College Drive
Santa Maria, CA 93454

Dear Applicant:

Due to Internal Revenue Services Redistricting your Exempt Organization Plan is now under San Francisco Key District, instead of Los Angeles District.

You should direct your response(s) to the above address. Please keep this letter in your permanent records.

Sincerely yours,

District Director

NON-DISCLOSABLE